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**FACSIMILE COVER SHEET**

May 8, 2006

**TO:** Examiner Ella Colbert

**COMPANY:** United States Patent and Trademark Office

**FROM:** Eric L. Tanezaki

**OUR REF:** GLADS-001A **YOUR REF:** Application Serial No.:  
09/884,303

**FAX NO.:** (571) 273-8300

**NO. OF PAGES INCLUDING THIS COVER SHEET 9**

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PTO/SB/21 (09-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/884,303

Filing Date June 19, 2001

First Named Inventor Garry D. Gladstone

Art Unit 3624

Examiner Name Ella Colbert

Attorney Docket Number GLADS-001A

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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input checked="" type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Fax Cover Sheet
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	STETINA BRUNDA GARRED & BRUCKER		
Signature	<i>Eric L. Tanezaki</i>		
Printed name	Eric L. Tanezaki		
Date	May 8, 2006	Reg. No.	40,196

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	<i>Abby Christensen</i>		
Typed or printed name	Abby Christensen	Date	5-8-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application No.: 09/884,303  
Response to Office Action of March 14, 2006  
Attorney Docket: GLADS-001A

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants:	Garry D. Gladstone	)	Confirmation No.	4813
		)		
Serial No.:	09/884,303	)	Art Unit:	3624
		)		
Filed:	June 19, 2001	)	Examiner:	Ella Colbert
		)		
For:	METHOD AND SYSTEM FOR	)		
	AUTOMATED TRADING OF	)		
	FINANCIAL INSTRUMENTS	)		

**RESPONSE TO FINAL OFFICE ACTION**

Via Facsimile Only (571) 273-8300

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Dear Sir:

In response to the Final Office Action of March 14, 2006, please amend the above-identified application as follows: